

ABC Transfer Agent, LLC

TO: [Receiving Cost Basis Firm]
Attn: [Name – Cost Basis Dept]
Street Address 1
Street Address 2
City, ST ZIP
Country (non-US)
[Receiving Cost Basis Firm] Phone #: (xxx) xxx-xxxx

Street address 1
Street address 2
City, ST ZIP
From: Cost Basis Team
Tel: (xxx) xxx-xxxx
Fax to (xxx) xxx-xxx
E-mail address:

IRS Code Section 6045A Basis Transfer Statement Statement Date: [MM/DD/YYYY]

- Correction
 Request **Please fax or email**
 Reject **() Can't apply basis () Not agent for CUSIP () Tax-lot greater than quantity received**

Additional Comments _____

Account Information

Cost Basis Deliverer DTC # 123456 Cost Basis receiver DTC #: 123456
Account # at receiver : 1234567890123456789
Unique ID/Transfer Control#: 123456789012345687901234568790
Date Transfer Initiated: MM/DD/YYYY Date Transfer Settled: MM/DD/YYYY

Security #1: Asset Record

CUSIP/ 123456789 Security Description: 1234567890123456789012345678901 Ticker 123456
ISIN: 123456789
Total 123456789012.1234 Security Classification: 12345678
shares:

Lot #1: Tax Lot Record

Quantity	Certificate Number	Acquisition Date	Original Acquisition Date for Wash Sale Adjustment	Original Cost Basis	Adjusted Cost Basis	Zero Basis Indicator
123456789012.1234	AB123456789	MM/DD/YYYY or VARIOUS	MM/DD/YYYY	\$1234567890.67	\$123456789.67	True/ Unknown

Covered/ Uncovered/ Pending	Gift/ Inherited/ Both	Gift Date	Total FMV on Gift Date	Taxes paid by Agent	ISO/ESPP	Shareholder provided
Blank/C/N/P	Blank/G/I/B	MM/DD/YYYY	\$12345678901234.67	Y/N	Blank/I/E	Y/N/Unknown