

## AGENDA

### TUESDAY, OCTOBER 14TH

8:00 a.m. – 1:00 p.m.	<b>STAMP BOARD OF DIRECTORS MEETING</b> <i>Exchange 1</i>
12:00 noon – 6:00 p.m.	<b>REGISTRATION &amp; HOSPITALITY</b> <i>Angle</i> <i>Sponsor: Wells Fargo Shareowner Services</i>
3:30 p.m. – 6:00 p.m.	<b>STA BOARD OF DIRECTORS' MEETING</b> <i>Exchange 1</i>
7:00 p.m. – 10:30 p.m.	<b>WELCOME RECEPTION</b> <i>Pool</i> <i>Sponsors: Members of the Association as listed on page ii</i> <b>WELCOME DINNER</b> <i>Salon 3</i> <i>Dinner Sponsor: Computershare</i> <i>Wine Sponsor: SwingVote, LLC</i>

### WEDNESDAY, OCTOBER 15TH

7:00 a.m. – 8:30 a.m.	<b>CONTINENTAL BREAKFAST</b> <i>Flagler Hall</i> <i>Sponsor: BNY Mellon Shareowner Services</i>
	<b>OPENING OF THE CONFERENCE</b> <i>Salons 1 &amp; 2</i>
8:30 a.m. – 8:45 a.m.	<b>Conference Welcome and STA Update</b> <i>Cynthia Jones, Executive Director</i> <i>Presiding: The Securities Transfer Association, Inc.</i>
8:45 a.m. – 9:15 a.m.	<i>Topic:</i> <b>President's Remarks</b> <i>Introduction:</i> <i>Cynthia Jones, Executive Director</i> <i>The Securities Transfer Association, Inc.</i> <i>Speaker:</i> <i>Charles V. Rossi, President</i> <i>The Securities Transfer Association, Inc.</i>
9:15 a.m. – 10:30 a.m.	<i>Topic:</i> <b>Keynote Speaker: Juggling Elephants</b> <i>Introduction:</i> <i>Charles V. Rossi, President</i> <i>The Securities Transfer Association, Inc.</i> <i>Speaker:</i> <i>Jones Loflin, Co-author</i> <i>Juggling Elephants</i>

10:30 a.m. – 10:45 a.m.	<b>COFFEE AND PASTRIES</b> <i>Flagler Hall</i> <i>Sponsor: American Stock Transfer &amp; Trust Company</i>
10:45 a.m. – 12:00 noon	<i>Topic:</i> <b>Proxy and Annual Meeting Issues</b> <i>Introduction:</i> Thomas L. Montrone, <i>President &amp; Chief Executive Officer</i> Registrar and Transfer Company <i>Moderator:</i> Charles V. Rossi., <i>Executive Vice President</i> <i>Computershare</i> <i>Panelists:</i> Elizabeth Murphy, <i>Chief, Office of Rulemaking</i> <i>Division of Corporation Finance</i> <i>U.S. Securities and Exchange Commission</i> Niels C. Holch, <i>Partner</i> <i>Holch &amp; Erickson LLP</i> Jeffrey D. Morgan, CAE, <i>President &amp; CEO</i> <i>National Investor Relations Institute</i>
12:30 p.m. – 6:00 p.m.	<b>GOLF TOURNAMENT</b> <i>Atlantis Country Club</i> Box Lunches, Refreshments and Prizes <i>Sponsors: Members of the Association as listed on page ii.</i>

## THURSDAY, OCTOBER 16TH

7:00 a.m. – 8:15 a.m.	<b>CONTINENTAL BREAKFAST</b> <i>Flagler Hall</i> <i>Sponsor: National City Bank.</i>
7:15 a.m. – 8:15 a.m.	<b>NEW MEMBERS AND FIRST TIME ATTENDEES BREAKFAST</b> <i>Angle</i> <i>Sponsors: Members of the Association as listed on page ii.</i>
	<b>REOPENING OF THE CONFERENCE</b> <i>Salons 1 &amp; 2</i>
8:15 a.m. – 8:30 a.m.	<b>ANNUAL MEETING OF THE SECURITIES TRANSFER ASSOCIATION, INC.</b> <i>President:</i> Charles V. Rossi <i>Secretary:</i> Steven Nelson <i>Treasurer:</i> Debra Hacka

8:30 a.m. – 9:15 a.m.	<p><i>Topic:</i> <b>Unclaimed Property Update</b></p> <p><i>Introduction:</i> Charles V. Rossi, Executive Vice President Computershare</p> <p><i>Speakers:</i> Nancy Hollins, Chief of Holder Operations Bureau California State Controller's Office</p> <p>Stephen Edwards, Assistant Division Chief California State Controller's Office</p>
9:15 a.m. – 10:00 a.m.	<p><i>Topic:</i> <b>SEC Update</b></p> <p><i>Introduction:</i> Steven Nelson, Chairman and President Continental Stock Transfer &amp; Trust Co.</p> <p><i>Speaker:</i> Susan Petersen, Special Counsel U.S. Securities and Exchange Commission</p>
10:00 a.m. – 10:15 a.m.	<p><b>COFFEE AND PASTRIES</b> Flagler Hall Sponsor: SMS Vanacore</p>
10:15 a.m. – 11:30 a.m.	<p><i>Topic:</i> <b>Legal Developments</b></p> <p><i>Introduction:</i> Robert M. Carney, Senior Vice President BNY Mellon Shareowner Services</p> <p><i>Moderator:</i> Stephen J. Dolmatch, Senior Managing Counsel The Bank of New York Mellon</p> <p><i>Panelists:</i> Mark Harmon, Partner Hodgson Russ LLP</p> <p>Merrill Stone, Partner Kelley Drye &amp; Warren LLP</p> <p>Laura S. Pruitt, Partner Schiff Hardin LLP</p>
11:30 a.m. – 12:15 p.m.	<p><i>Topic:</i> <b>Privacy &amp; Identity Theft</b></p>
	<p><i>Introduction:</i> Todd J. May, Group Head Wells Fargo Shareowner Services</p>
	<p><i>Speaker:</i> Mark Rasch FTI Consulting</p>
12:15 p.m. – 2:15 p.m.	<p><b>BUFFET LUNCHEON, VENDOR EXHIBITS &amp; DOOR PRIZES</b> Salon 3 Sponsor: Unclaimed Property Recovery &amp; Reporting, Inc.</p>

2:15 p.m. – 4:15 p.m.	<p><i>Topic:</i> <b>Open Forum of Various Topics</b> <i>Salon 2</i></p> <p><i>Moderators:</i> Cynthia Jones, Executive Director The Securities Transfer Association, Inc.</p> <p>Kevin P. Irwin, <i>Training Coordinator</i> <i>The Securities Transfer Association, Inc.</i></p>
7:00 p.m. – 11:00 p.m.	<p><b>STAMP NIGHT “SOUTH BEACH BY NIGHT”</b> <i>Cocktails: Flagler Hall Dinner: Salons 1 &amp; 2</i> Cocktails, dinner and entertainment. Casual attire.</p> <p><i>Sponsors: Hampton Technologies LLC</i> <i>Kemark Financial Services, Inc.</i> <i>STAMP Inc.</i></p>

## FRIDAY, OCTOBER 17TH

7:00 a.m. – 8:30a.m.	<p><b>CONTINENTAL BREAKFAST</b> <i>Flagler Hall</i> <i>Sponsor: Members of the Association as listed on page ii.</i></p>
	<p><b>REOPENING OF THE CONFERENCE</b> <i>Plaza Ballroom</i></p>
8:30 a.m. – 10:00 a.m.	<p><i>Topic:</i> <b>Small Agents Forum</b></p> <p><i>Moderators:</i> Salli Marinov, <i>President and CEO</i> <i>First American Stock Transfer, Inc.</i></p> <p>Jonathan Miller, <i>President</i> <i>StockTrans, Inc.</i></p>
	<p><i>Salons 1 &amp; 2</i></p>
8:30 a.m. – 9:15 a.m.	<p><i>Topic:</i> <b>NYSE Euronext Update</b></p> <p><i>Introduction:</i> William Spiers, <i>AVP - Stock Transfer Services</i> <i>CIBC Mellon Trust Services</i></p> <p><i>Speaker:</i> Judith C. McLevey, <i>Managing Director, Global</i> <i>Corporate Client Group</i> <i>NYSE/Euronext</i></p>
9:15 a.m. – 10:00 a.m.	<p><i>Topic:</i> <b>Cost Basis</b></p> <p><i>Introduction:</i> Debra H. Hacka, <i>SVP &amp; Department Manager</i> <i>National City Bank</i></p> <p><i>Speaker:</i> Fredrick Sherley, <i>Counsel</i> <i>Dechert LLP</i></p>

10:00 a.m. – 10:15 a.m.	<b>COFFEE AND PASTRIES</b> <i>Flagler Hall</i> <i>Sponsor: The Colbent Corporation</i>
10:15 a.m. – 11:00 a.m.	<i>Topic:</i> <b>DTC Update</b> <i>Introduction:</i> Salli Marinov, <i>President and CEO</i> <i>First American Stock Transfer, Inc.</i>  <i>Speaker:</i> Joseph Trezza, <i>Senior Product Manager</i> <i>The Depository Trust &amp; Clearing Corporation</i>
11:00 a.m. – 11:30 a.m.	<i>Topic:</i> <b>STA Committee Updates</b> <i>Introduction:</i> Cynthia Jones, <i>Executive Director</i> <i>The Securities Transfer Association, Inc.</i>  <i>Speakers:</i> Mary Rose Cascaes, <i>Executive Vice President &amp;</i> <i>Chief Operating Officer</i> <i>Registrar and Transfer Company</i>  John C. Buonomo, Jr., <i>Director of Shareowner</i> <i>Services</i> <i>BNY Mellon Shareowner Services</i>
11:45 a.m. – 12:15 p.m.	<b>STA BOARD MEETING</b>  <i>Plaza Ballroom</i>
1:00 p.m. – 3:00 p.m.	<i>Topic:</i> <b>Small Agent's Training – Are you certain your policies and procedures are all they could be?</b>  <i>Speakers:</i> Salli Marinov, <i>President and CEO</i> <i>First American Stock Transfer, Inc.</i> Fredrick Sherley, <i>Counsel</i> <i>Dechert LLP</i>