

Wednesday, March 3, 2010
STA QUARTERLY MEETING

All members and interested parties are warmly invited and strongly urged to attend the Association's March 3rd Quarterly Meeting. **Updates of important industry issues of general interest to all transfer agents are on the agenda:**

❖ **STA UPDATE**

Charles Rossi, *President, STA*

❖ **Cost Basis Reporting Service Update**



Joseph Clemente

The effective date for mandatory cost basis reporting by transfer agents (January 1, 2011) draws ever closer. The STA is proud to present Joseph Clemente, *Product Manager, Securities Processing, and* Lydia Midwood, *Product Manager, Equity Clearance and Settlement*, from DTCC who will give an update on the preparations their organization is making to assist brokers and transfer agents with this new responsibility. This presentation will bring you up to date on this important initiative.

Your attendance and active participation are most welcome. Please make plans to join us. The **luncheon meeting** will commence at **12:00 noon** at the following location:

BAYARD'S

1 Hanover Square
New York, New York

The registration price is \$70.00 per person. If three (3) or more are attending from the same company the cost is \$60.00 per person. This includes an excellent luncheon. This meeting affords you the opportunity to network with other industry professionals and to discuss these and other issues affecting your business. Please mail your reservation promptly on the enclosed form, accompanied by your remittance payable to: The Securities Transfer Association, Inc. You may also register on-line with a credit card at www.stai.org

We are expecting a good turnout for the meeting and seating is limited. All members and their colleagues are urged to attend and participate in the discussion about these timely topics. If you require additional information, please contact Carol Gaffney at the STA Office (732) 888-6040.

Sincerely,

Charles V. Rossi
President

*DTCC, through its subsidiaries, provides clearing, settlement and information services for equities, corporate and municipal bonds, government and mortgage-backed securities, money market instruments over-the-counter and derivatives. In addition, DTCC is a leading processor of mutual funds and insurance transactions, linking funds and carriers with their distribution networks.

CHARLES V. ROSSI, President
THE SECURITIES TRANSFER ASSOCIATION, INC.
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STA QUARTERLY MEETING

PLEASE ENTER THE FOLLOWING RESERVATION FOR THE **LUNCHEON MEETING** TO BE HELD AT 12:00 NOON ON **WEDNESDAY, MARCH 3, 2010** AT **BAYARD'S**, 1 HANOVER SQUARE, NEW YORK, NEW YORK AS INDICATED BELOW:

Company: _____

Address: _____

Phone: _____

Email: _____

A CHECK PAYABLE TO THE SECURITIES TRANSFER ASSOCIATION, INC. IS ENCLOSED

AMOUNT OF CHECK \$ _____ @ \$70.00 PER PERSON

\$60.00 PER PERSON IF THREE (3) OR MORE ARE ATTENDING FROM THE SAME COMPANY. THERE IS NO CHARGE FOR HONORARY MEMBERS.

Please print the names of registrants:

1. _____

2. _____

3. _____

4. _____

5. _____

YOUR EARLY RESPONSE ACCOMPANIED BY YOUR CHECK IS GREATLY APPRECIATED.

PLEASE NOTE - YOU SHOULD BE REGISTERED by FEBRUARY 25, 2010.

You may also register on-line with a credit card at www.stai.org