

# AGENDA

## TUESDAY, OCTOBER 19TH

8:00 a.m. – 1:00 p.m.	<b>STAMP BOARD OF DIRECTORS MEETING</b>
12:00 noon – 6:00 p.m.	<b>REGISTRATION &amp; HOSPITALITY</b>
1:30 p.m. – 3:30 p.m.	<b>STA OPERATIONS COMMITTEE MEETING</b>
3:30 p.m. – 6:00 p.m.	<b>STA BOARD OF DIRECTORS' MEETING</b>
7:00 p.m. – 10:30 p.m.	<b>WELCOME RECEPTION</b> <b>WELCOME DINNER</b>

## WEDNESDAY, OCTOBER 20TH

7:00 a.m. – 8:30 a.m.	<b>CONTINENTAL BREAKFAST</b>
	<b>OPENING OF THE CONFERENCE</b>
8:30 a.m. – 8:45 a.m.	<b>Conference Welcome and STA Update</b> <i>Presiding:</i> Cynthia Jones, <i>Executive Director</i> <i>The Securities Transfer Association, Inc.</i>
8:45 a.m. – 9:15 a.m.	<i>Topic:</i> <b>President's Remarks</b> <i>Introduction:</i> Cynthia Jones, <i>Executive Director</i> <i>The Securities Transfer Association, Inc.</i> <i>Speaker:</i> Charles V. Rossi, <i>President</i> <i>The Securities Transfer Association, Inc.</i>
9:15 a.m. – 10:30 a.m.	<i>Topic:</i> <b>Keynote Speaker: The Best Way To Predict The Future Is To Create It</b> <i>Introduction:</i> Charles V. Rossi, <i>President</i> <i>The Securities Transfer Association, Inc.</i> <i>Speaker:</i> Scott Friedman <i>Certified Speaking Professional</i>

10:30 a.m. – 10:45 a.m.	<b>COFFEE AND PASTRIES</b>
10:45 a.m. – 12:00 noon	<p><i>Topic:</i> <b>Proxy Panel</b></p> <p><i>Moderator:</i> Paul Conn, <i>President</i> <i>Computershare Capital Markets</i></p> <p><i>Panelists:</i> Brian Breheny, <i>Partner</i> <i>Skadden, Arps, Slate, Meagher &amp; Flom LLP</i></p> <p>Jeff Morgan, <i>President</i> <i>National Investor Relations Institute</i></p> <p>Niels C. Holch, <i>Executive Director</i> <i>Shareholder Communications Coalition</i></p>
12:30 p.m. – 6:00 p.m.	<b>GOLF TOURNAMENT</b>
2:00 p.m. – 4:00 p.m.	<p><i>Topic:</i> <b>Small Agent's Training including Cost Basis</b></p> <p><i>Speakers:</i> Salli Marinov, <i>President and CEO</i> <i>First American Stock Transfer, Inc.</i></p> <p>Mary Rose Cascaes, <i>COO</i> <i>Registrar and Transfer Company</i></p> <p>Jennifer Sponzilli, <i>Principal</i> <i>KPMG LLP</i></p> <p>Fredrick Sherley, <i>Counsel</i> <i>Dechert LLP</i></p> <p>Mark Harmon, <i>Partner</i> <i>Hodgson Russ LLP</i></p>

## THURSDAY, OCTOBER 21ST

7:00 a.m. – 8:15 a.m.	<b>CONTINENTAL BREAKFAST</b>
7:00 a.m. – 8:15 a.m.	<b>NEW MEMBERS AND FIRST TIME ATTENDEES BREAKFAST</b>
	<b>REOPENING OF THE CONFERENCE</b>
8:15 a.m. – 8:30 a.m.	<p><b>ANNUAL MEETING OF THE SECURITIES TRANSFER ASSOCIATION, INC.</b></p> <p><i>President:</i> Charles V. Rossi</p> <p><i>Secretary:</i> Steven Nelson</p>

	<i>Treasurer:</i> Mary Corcoran
8:30 a.m. – 9:15 a.m.	<i>Topic:</i> <b>NYSE/Euronext Update</b> <i>Introduction:</i> <i>Speaker:</i> Scott R. Cutler, Executive Vice President NYSE/Euronext
9:15 a.m. – 10:15 a.m.	<i>Topic:</i> <b>SEC Update</b> <i>Introduction:</i> <i>Speaker:</i> Susan Petersen, <i>Special Counsel, Division of Trading and Markets</i> U.S. Securities and Exchange Commission
10:15 a.m. – 10:30 a.m.	<b>COFFEE AND PASTRIES</b>
10:30 a.m. – 12:00 noon	<i>Topic:</i> <b>Legal Developments</b> <i>Moderator:</i> Steven Nelson, <i>Chairman and President</i> <i>Continental Stock Transfer &amp; Trust Co.</i> <i>Panelists:</i> Mark Harmon, <i>Partner</i> <i>Hodgson Russ LLP</i> Merrill Stone, <i>Partner</i> <i>Kelley Drye &amp; Warren LLP</i> Andrea Manning, <i>Counsel</i> <i>Computershare</i>
12:00 noon – 2:00 p.m.	<b>BUFFET LUNCHEON, VENDOR EXHIBITS &amp; DOOR PRIZES</b>
2:00 p.m. – 3:30 p.m.	<i>Topic:</i> <b>Open Forum of Various Topics</b> <i>Moderators:</i> Cynthia Jones, <i>Executive Director</i> <i>The Securities Transfer Association, Inc.</i> Kevin P. Irwin, <i>Training Coordinator</i> <i>The Securities Transfer Association, Inc.</i>
3:30 p.m. – 4:30 p.m.	<i>Topic:</i> <b>Small Agent's Forum</b> <i>Moderator:</i> Salli A. Marinov, <i>President &amp; CEO</i> <i>First American Stock Transfer, Inc.</i>
7:00 p.m. – 10:30 p.m.	<b>THEME NIGHT</b> Cocktails, dinner and entertainment. Casual attire.

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## FRIDAY, OCTOBER 22ND

7:00 a.m. – 8:45 a.m.	<b>CONTINENTAL BREAKFAST</b>
<b>REOPENING OF THE CONFERENCE</b>	
8:45 a.m. – 9:30 a.m.	<i>Topic:</i> <b>DTC Update</b> <i>Introduction:</i> <i>Speaker:</i> Cheryl Lambert, <i>Managing Director, Asset Services, Business Reengineering &amp; Quality The Depository Trust and Clearing Corp.</i>
9:30 a.m. – 10:00 a.m.	<b>COFFEE AND PASTRIES</b>
10:00 a.m. – 11:15 a.m.	<i>Topic:</i> <b>Cost Basis Panel</b> <i>Moderator:</i> Mary Rose Cascaes, <i>COO Registrar and Transfer Company</i> <i>Panelists:</i> Stevie D. Conlon, <i>Tax Director and CPA GainsKeeper</i>  Kevin Burns, <i>Managing Director, Operations Computershare</i>  Joseph Clemente, <i>Product Manager, Securities Processing The Depository Trust and Clearing Corp.</i>  Kevin McCosker, <i>Director, Asset Services Pershing</i>
11:15 a.m. – 12:00 noon	<i>Topic:</i> <b>STA Committee Updates</b> <i>Introduction:</i> Cynthia Jones, <i>Executive Director The Securities Transfer Association, Inc.</i>  <i>Speakers:</i> <b>Operations Committee</b> Katie Sevcik, <i>Senior Vice President, Wells Fargo Shareowner Services</i>  <b>Risk &amp; Compliance Committee</b> Angela Ponte, <i>Chief Compliance Officer Wells Fargo Shareowner Services</i>
12:00 noon – 12:30 p.m.	<b>STA BOARD MEETING</b>